



INDIVIDUAL PERFORMANCE GOALS

STAFF MEMBER NAME: Dana Moolani MANAGER(S): David Orkin / Mel Carlisle

TITLE: Vice President DEPARTMENT: Accounting

EVALUATION YEAR / PERIOD: 2015 SUB-DEPARTMENT: Closed-end Fund Accounting

INSTRUCTIONS:

- DISCUSS WITH YOUR MANAGER AND DOCUMENT THE AGREED UPON GOALS
- CREATE UP TO SIX (6) GOALS IN TOTAL
- ENSURE GOALS ARE SMART (SPECIFIC, MEASURABLE, ACHIEVABLE, RESULTS-ORIENTED, AND TIME-BASED)
- SUBMIT TO PETER LUDDEN IN HR (pludden@oaktreecapital.com) BY MARCH 31, 2015

PERFORMANCE GOALS

GOAL	GOAL CATEGORY: STRATEGIC, FINANCIAL, OPERATIONAL, PEOPLE	BY WHEN OR HOW OFTEN?
1. STANDARDIZE A PROCESS FOR CLOSED-END FUND ACCOUNTING WHEN ONBOARDING NEW STRATEGIES/FUNDS <ul style="list-style-type: none"> i. PARTICIPATE IN MEETINGS WITH OTHER OAKTREE GROUPS (I.E., LEGAL, MARKETING, CORPORATE) EARLY IN THE FUNDRAISING PROCESS TO DISCUSS REPORTING REQUIREMENTS AND FEE STRUCTURES ii. DESIGN AND IMPLEMENT PERFORMANCE MODELS iii. BECOME FAMILIAR WITH GOVERNING AGREEMENTS 	STRATEGIC / OPERATIONAL	12/31/15
2. BE PART OF IDENTIFYING THREE POTENTIAL IMPROVEMENTS TO OAKTREE AND HAVE COMPLETED OR MADE SUBSTANTIAL PROGRESS TOWARDS AT LEAST ONE OF THEM <ul style="list-style-type: none"> a. IMPLEMENT GWI PARTNERSHIP ACCOUNTING SYSTEM b. OPTIMIZE THE FUNCTIONALITY OF THE ARC FINANCIAL STATEMENT PREPARATION SYSTEM BY LEVERAGING GLOBAL FUNCTIONALITY c. LEVERAGE OUR CURRENT TECHNOLOGY AND DETERMINE WAYS TO MAKE OUR REPORTING MORE EFFICIENT <ul style="list-style-type: none"> i. BE A PART OF DEVELOPING A PROJECT LIST AND ENGAGE CONSULTANT (DINESH SHASTRI) TO CONTINUE EFFORTS TO AUTOMATE VARIOUS MANUAL PROCESSES 	OPERATIONAL	12/31/15
3. BECOME MORE OF AN EXPERT ON OUR SYSTEMS <ul style="list-style-type: none"> a. ATTEND AN ADVENT GENEVA CONFERENCE TO ENHANCE OPERATING KNOWLEDGE, EXPAND NETWORK AND LEVERAGE INTERNAL/EXTERNAL CONTACTS 	OPERATIONAL	YEARLY
4. ALIGN DEAL TEAMS EXPECTATIONS WITH CURRENT OUTPUT FROM CLOSED-END FUND ACCOUNTING <ul style="list-style-type: none"> a. CONSULT WITH DEAL TEAMS TO BETTER PRIORITIZE DELIVERABLES SUCH AS 	OPERATIONAL	BIANNUAL



OAKTREE

MMR'S AND PERFORMANCE REPORTING, TO ENSURE THE HIGHEST RETURN ON TIME		
5. FOCUS ON STAFF DEVELOPMENT a. INFORMALLY MEET WITH DIRECT REPORTS ONCE A MONTH TO FOLLOW UP ON PERFORMANCE GOALS b. ASSESS AND OPTIMIZE THE ALLOCATION OF MY DIRECT REPORT'S TIME IN ORDER TO BE MORE EFFICIENT AND OUTCOME ORIENTED	PEOPLE	MONTHLY, QUARTERLY AND YEARLY

STAFF MEMBER APPROVAL

_____DM_____ 3/31/15
(TYPE INITIALS) DATE

MANAGER APPROVAL

_____DATE
(TYPE INITIALS)